

No: 02 /BC- PWS-HDQT

Phu Yen , January 16 , 2025

**REPORT**  
**Corporate governance situation in 2024**

Dear:

- State Securities Commission;
- Hanoi Stock Exchange .

- Company name: PHU YEN WATER SUPPLY AND DRAINAGE JOINT STOCK COMPANY

- Head office address : 05 Hai Duong, Ward 1, Tuy Hoa city, Phu Yen province

- Phone : 0257 3823557 Email: ctnphuyen@gmail.com

- Charter capital: 383,635,790,000 VND;

- Stock code : PWS

- Corporate governance model :

+ General Meeting of Shareholders, Board of Directors, Board of Supervisors and General Director .

+ Regarding the implementation of internal audit function: Not subject to implementation according to regulations.

**I. Activities of the 2024 General Meeting of Shareholders:**

Information on meetings and Resolutions/Decisions of the General Meeting of Shareholders (including Resolutions of the General Meeting of Shareholders adopted in the form of written opinions)

<b>St t</b>	<b>Resolution/Decision Number</b>	<b>Day</b>	<b>Content</b>
01	Resolution No. 01/2024/NQ-ĐHĐCĐ of the 2024 Annual General Meeting of Shareholders	April 26, 2024	Through the following Reports and Presentations: <i>1. Report :</i> - Report on production, business and investment development results in 2023 by the Company's General Director - Report on 2023 operating results and 2024 operating plan of the Company's Board of Directors - 2023 operational report and 2024 operational plan of the Board of Supervisors <i>2. Submission:</i>

			<ul style="list-style-type: none"> <li>- Submission to approve the audited 2023 Financial Statements</li> <li>- Proposal to approve the 2023 profit distribution plan and the 2024 profit distribution plan</li> <li>- Submission for approval of the final settlement of the remuneration fund for members of the Board of Directors, Supervisors, and the person in charge of administration and the Company Secretary in 2023; the remuneration fund planned for 2024</li> <li>- Report on Production, Business and Investment Development Plan for 2024</li> <li>- Submission for approval of the List of Independent Auditing Companies to audit the 2024 Financial Statements</li> </ul>
--	--	--	--

## II. Board of Directors 2024 :

### 1. Information about Board of Directors (BOD) members:

St t	Board Member	Position ( <i>independent member of the Board of Directors, non-executive member of the Board of Directors</i> )	Start date	
			Date of appointment	Dismissal Date
01	Mr. Nguyen Tan Thuan	Executive Board Member	April 28, 2020	-
02	Mr. Nguyen Phu Lieu	Executive Board Member	April 28, 2020	-
03	Mr. Do Hoang Long	Executive Board Member	April 28, 2020	-
04	Mr. Dang Duc Hoang	Non-executive Board Member	April 28, 2020	-
05	Mr. Ta Binh Nguyen	Non-executive Board Member	April 28, 2020	-

### 2. Board of Directors meetings:

Status	Board Member	Number of Board of Directors meetings attended	Meeting attendance rate	Reason for not attending the meeting
01	Mr. Nguyen Tan Thuan	04/04	100%	-
02	Mr. Nguyen Phu Lieu	04/04	100%	-
03	Mr. Do Hoang Long	04/04	100%	-
04	Mr. Dang Duc Hoang	04/04	100%	-
05	Mr. Ta Binh Nguyen	04/04	100%	-

Between regular meetings of the Board of Directors, in order to promptly resolve matters requiring the Board of Directors' consensus submitted by the Company's General Director, the Company organized meetings in the form of collecting written opinions from Board members. The procedures and documents for collecting voting opinions were all ensured to comply with the provisions of the Enterprise Law and the Company's Charter. The voting results were all witnessed by members of the Supervisory Board.

3. Supervisory activities of the Board of Directors towards the Executive Board:

The Board of Directors has effectively supervised and directed the General Director and the Company's Executive Board in implementing the 2024 plan approved by the General Meeting of Shareholders based on the principles stipulated in the Company's Internal Regulations on Corporate Governance.

Regarding other issues arising in production and business activities in 2024, the Executive Board will submit a Report to the Board of Directors to obtain written voting opinions before organizing implementation.

4. Activities of subcommittees of the Board of Directors (if any) : The Company has not established a subcommittee of the Board of Directors.

5. Resolutions/Decisions of the Board of Directors in 2024 :

Status	Resolution/Decision Number	Day	Content	Passage Rate
<b>Resolution</b>				
01	01/ 2024 /NQ-HĐQT	February 28, 2024	Approval of the plan to convene and agenda of the 2024 Annual General Meeting of Shareholders	05/05
02	0 2 /2024 / NQ-HĐQT	April 25, 2024	Agree on the contents to be submitted to the 2024 Annual General Meeting of Shareholders	05/05
03	0 3 /202 4 /NQ-HĐQT	February 28, 2024	Resolution on deciding the deadline and procedures for paying dividends in 2023	05/05
04	0 4 /202 4 /NQ-HĐQT	August 16, 2024	Resolution on Approving the list of units implementing the loan plan for the Project: Investing in upgrading the Northeast Song Cau Water Plant from 900m <sup>3</sup> /day and night to 10,000m <sup>3</sup> /day and night	05/05
05	0 5 /2024 / NQ-HĐQT	12/18/2024	Resolution on extending the term of office of Chief Accountant of Phu Yen Water Supply and Drainage Joint Stock Company	05/05
<b>Decision</b>				
01	01/ 2024 /QĐ-HĐQT	01/02/2024	On promulgating the revised and supplemented salary regulations of Phu Yen Water Supply and Drainage Joint Stock Company 3rd time	05/05

02	0 2 /2024 / QĐ- HĐQT	01/02/2024	Approval of production, business and investment development plan for 2024	05/05
03	0 3 /202 4 /QĐ- HĐQT	01/02/2024	Approval of adjustment of project implementation progress Project: Renovation and upgrading of capacity of Tuy Hoa city water supply pipeline network	05/05
04	0 4 /202 4 /QĐ- HĐQT	February 29, 2024	Approval of cost estimates and contractor selection plan Adjustment phase of feasibility study report Project: Upgrading and expanding Song Cau Water Plant from 5,000m <sup>3</sup> / day and night to 8,000m <sup>3</sup> / day and night	05/05
05	0 5 /2024 / QĐ- HĐQT	March 28, 2024	Approval of the 2023 remuneration and salary fund settlement; 2024 labor use plan; 2024 remuneration and salary plan for managers and employees of Phu Yen Water Supply and Drainage Joint Stock Company	0 3/05
06	0 6 /2024 / QĐ- HĐQT	April 9, 2024	Approving the additional list of investment projects in 2023 and adjusting the development investment capital plan in 2024	05/05
07	07 /2024 / QĐ - HĐQT	April 16, 2024	Approval of project finalization for completion of Project: Relocation of clean water transmission pipeline and water supply pipeline across Tran Hung Dao Bridge, National Highway 25	05/05
08	0 8 /2024 / QĐ- HĐQT	06/07/2024	Approval of the 2024 Financial Statement Audit Unit	05/05
09	09 /2024 / QĐ - HĐQT	June 17, 2024	Approval of adjustment of the project feasibility study report and contractor selection plan for phase 2A construction drawing design phase of the Project: Upgrading and expanding Song Cau Water Plant from 5,000 m <sup>3</sup> / day and night to 8,000 m <sup>3</sup> / day and night	05/05
10	10 /2024 / QĐ-HĐQT	07/08/2024	Approval of project finalization for Project: Relocation of water supply pipeline D377 and water distribution pipelines - serving the project of renovating and upgrading key sections on National Highway 25.	05/05
11	11 /2024 / QĐ-HĐQT	7/30/2024	Approval of construction design to be implemented after the basic design of Phase 2A and the contractor selection plan for Phase 2A Project: Upgrading and expanding Song Cau Water Plant	05/05

			from 5,000 m <sup>3</sup> /day and night to 8,000 m <sup>3</sup> /day and night	
12	12 /2024 / QĐ-HĐQT	05/09/2024	Finalization of completed project items and approval of additional contractor selection plan for phase 1 construction project: Renovation and capacity improvement of Tuy Hoa city water supply pipeline network	05/05
13	13 /2024 / QĐ-HĐQT	September 30, 2024	Approval of liquidation of fixed assets, tools, supplies and damaged goods according to inventory results for the first 6 months of 2024	05/05
14	14 /2024 / QĐ-HĐQT	12/18/2024	Approval of construction design to be implemented after basic design and contractor selection plan for construction phase (phase 1) Project: Investment in upgrading the water plant in the Northeast of Song Cau area from 900m <sup>3</sup> /day and night to 10,000m <sup>3</sup> /day and night	05/05
15	15 /2024 / QĐ-HĐQT	12/26/2024	Approve production and business plans, Investment and development plan 2025	05/05
16	16 /2024 / QĐ-HĐQT	12/26/2024	Approval of adjustment of project implementation progress Project: Investment in upgrading the water plant in the Northeast of Song Cau area from 900m <sup>3</sup> /day and night to 10,000m <sup>3</sup> /day and night	05/05

### III. Board of Control :

#### 1. Information about the members of the Board of Supervisors (BOS):

Status	Board Member	Position	Start date	Professional qualifications
01	Mr. Do Minh Son	Head of Control Board	April 28, 2020	Business Accounting - Academy of Finance
02	Mrs. Nguyen Thi Thu	Controller	April 28, 2020	Bachelor of Business Administration
03	Ms. Do Thi Kieu Trang	Controller	April 28, 2021	Bachelor of Law - Hanoi Law University

#### 2. Board of Supervisors meeting

+ April 1, 2024: Approval of the Draft Report on the Board of Supervisors' activities in 2023, the 2024 Operation Plan; Approval of the List of Independent Auditing Companies to audit the 2024 Financial Statements to be submitted to the 2024 Annual General Meeting of Shareholders.

+ April 26, 2024: Approval of the Draft Audit Report of the 2023 Financial Statements audited by A&C Auditing and Consulting Co., Ltd. Nha Trang Branch, appointed as auditor for the fiscal year ending December 31, 2023; Perform the obligations of the Board of Supervisors on matters related to the 2024 Annual General Meeting of Shareholders.

+ September 20, 2024: Approval of the Draft Audit Report of the Financial Statements for the first 6 months of 2024 audited by A&C Auditing and Consulting Co., Ltd. Nha Trang Branch, appointed as auditor for the fiscal year ending December 31, 2024;

+ December 27, 2024: Supervise records and minutes after the Asset Inventory for the last 6 months of 2024 according to Plan 02/KH-CTN dated December 19, 2024 of the Inventory Council of Phu Yen Water Supply and Drainage Joint Stock Company.

Status	Board Member	Number of meetings attended	Meeting attendance rate	Voting ratio	Reason for not attending the meeting
01	Mr. Do Minh Son	04/04	100%	100%	-
02	Mrs. Nguyen Thi Thu	04/04	100%	100%	-
03	Ms. Do Thi Kieu Trang	04/04	100%	100%	-

3. Supervisory activities of the Board of Supervisors towards the Board of Directors, Executive Board and shareholders :

- The Board of Supervisors regularly supervises the Board of Directors and the Executive Board to check compliance with the provisions of the Enterprise Law, the Charter of organization and operation of the Company; including the implementation of resolutions of the General Meeting of Shareholders and resolutions and decisions of the Board of Directors:

- The Head of the Supervisory Board is assigned by the Supervisory Board to attend and be invited to participate fully, discuss at the meetings of the Board of Directors, the Company's monthly meetings; supervise when the Board of Directors members give written opinions; record investment results, production and business results and review the appropriateness of the Resolutions and Decisions of the Board of Directors, contribute opinions in the management and operation of the Board of Directors.

- Supervise the implementation of reporting, periodic and irregular information disclosure in a timely manner in accordance with the provisions of the Law on Enterprises, the Law on Securities and related legal documents.

4. Coordination of activities between the Supervisory Board and the Board of Directors, the Executive Board and other management staff :

- The Board of Directors, the Executive Board, and the Heads of the Company's departments create all favorable conditions for the Board of Supervisors to operate in accordance with regulations, regularly discuss on the principle of the common interests of the Company, shareholders, and comply with the law; the Board of Supervisors' reports are considered and implemented by the Board of Directors and the Board of General Directors to fulfill the tasks assigned by the General Meeting of Shareholders.

5. Other activities of the Board of Directors (if any) :

- Coordinate with functional departments/units in the Company to inspect the operations of affiliated units such as branches to strengthen management and operation of these units throughout the Company.

- Participate in the 2024 asset inventory with the Company's Inventory Council and the auditing unit.

#### IV. Executive Board

<b>STT</b>	<b>Board Member</b>	<b>Date of birth</b>	<b>Professional qualifications</b>	<b>Date of appointment</b>
01	Mr. Do Hoang Long - General Director	February 15, 1975	Master of Infrastructure Engineering	11/5/2020
02	Mr. Nguyen Phu Lieu - Deputy General Director	September 8, 1966	Civil Engineer in Water Supply and Drainage Industry	11/5/2020
03	Mr. Nguyen Tan Thuan - Deputy General Director	May 28, 1967	Civil Engineer, Master of Business Administration	11/5/2020
04	Mr. Nguyen Khac Toan - Deputy General Director	July 3, 1970	Urban Engineer - Water Supply and Drainage	July 13, 2021

#### V. Chief Accountant

<b>Full name</b>	<b>Date of birth</b>	<b>Professional qualifications</b>	<b>Date of appointment</b>
Nguyen Thi Xuan Tuu	August 19, 1976	Bachelor of Accounting	From January 1, 2021: Appointed Chief Accountant

**VI. Corporate governance training :** No events occurred.

## VII. List of related persons of the public company and transactions of related persons of the company with the Company itself

### 1. List of related persons of the company

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relati onshi p with the comp any
<b>Board of Directors</b>									
1	Nguyen Tan Thuan	-	Chairman of the Board of Directors, Deputy General Director of the Company			April 28, 2020	-		Board Memb er
1.1	People's Committee of Phu Yen province	-	-			April 28, 2020	-	State capital managem ent agency	-
1.2	Nguyen Tan Thuong	-	-			April 28, 2020	-	brother	-
1.3	Vo Thi Bich Lien	-	-			April 28, 2020	-	Sister in law	-
1.4	Nguyen Thi Hong Thuy	-	-			April 28, 2020	-	Wife	-
1.5	Nguyen Tai	-	-			April 28, 2020	-	father in law	-
1.6	Phan Thi Nam	-	-			April 28, 2020	-	Mother in law	-



ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
1.7	Nguyen Kha Tu	-	-			April 28, 2020	-	biological child	-
1.8	Nguyen Cam Tu	-	-			April 28, 2020	-	biological child	-
1.9	Dao Nguyen Trung	-	-			August 13, 2020	-	son in law	-
1.10	Nguyen Tan Thong	-	-			April 28, 2020	-	brother	-
1.11	Pham Thi Hong Mai	-	-			April 28, 2020	-	Sister in law	-
1.12	Nguyen Tan Thinh	-	-			April 28, 2020	-	brother	-
1.13	Nguyen Ai Lan	-	-			April 28, 2020	-	Sister in law	-
1.14	Nguyen Thi Thuan	-	-			April 28, 2020	-	Sister	-
1.15	Pham Su	-	-			April 28, 2020	-	brother in law	-
1.16	Nguyen Tan Than	-	-			April 28, 2020	-	brother	-
1.17	Huynh Thi Kim Anh	-	-			April 28, 2020	-	Sister in law	-
1.18	Nguyen Tan Thoan	-	-			April 28, 2020	-	brother	-
1.19	Nguyen Thi My Phuong	-	-			April 28, 2020	-	Sister in law	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relati onshi p with the comp any
1.20	Nguyen Thi Thu Thuyet	-	-			April 28, 2020	-	younger brother	-
1.21	Nguyen Xuan Bang	-	-			April 28, 2020	-	brother in law	-
<b>2</b>	<b>Dang Duc Hoang</b>	-	Board Member			April 28, 2020	-	-	-
2.1	Vu Thi Phuong Lien	-	-			April 28, 2020	-	Wife	-
2.2	Dang Vu Quang Dang	-	-			April 28, 2020	-	biological child	-
2.3	Dang Son Thach	-	-			April 28, 2020	-	biological child	-
2.4	Duong Kim Phuong	-	-			April 28, 2020	-	Sister	-
2.5	Dang Kim Loan	-	-			April 28, 2020	-	Sister	-
2.6	Dang Duc Long	-	-			April 28, 2020	-	younger brother	-
2.7	Dang Vu Duc Lan	-	-			April 28, 2020	-	younger brother	-
2.8	Toan My 14 Investment and Construction Joint Stock Company	-	-			April 28, 2020	-	Manager	-
2.9	Toan My 14 Construction Investment	-	-			April 28, 2020	-	CEO	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relati onshi p with the comp any
	and Installation Company Limited								
2.10	AquaOne Long An Water Joint Stock Company	-	-			April 28, 2020	-	General Director	-
<b>3</b>	<b>Ta Binh Nguyen</b>	-	Board Member			April 28, 2020	-	-	-
3.1	Do Thi Ly	-	-			April 28, 2020	-	biological mother	-
3.2	Ninh Thi Kim Ngoc	-	-			April 28, 2020	-	Mother in law	-
3.3	Nguyen Thi Hong Hanh	-	-			April 28, 2020	-	Wife	-
3.4	Nicholas Tse	-	-			April 28, 2020	-	biological child	-
3.5	Ta Anh Kiet	-	-			April 28, 2020	-	biological child	-
3.6	AquaOne Hau Giang Water Joint Stock Company	-	-			April 28, 2020	-	Mr. Ta Binh Nguyen is the General Director and legal representati ve.	

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
4	<b>Do Hoang Long</b>	-	Member of Board of Directors, General Director of the Company			April 28, 2020	-	-	-
4.1	Nguyen Thi Ngoc Lien	-	-			April 28, 2020	-	biological mother	-
4.2	Do Thuy Nga	-	-			April 28, 2020	-	Sister	-
4.3	Nguyen Van Thanh	-	-			April 28, 2020	-	brother in law	-
4.4	Tang Thi Minh Tam	-	-			April 28, 2020	-	Sister in law	-
4.5	Do Phi Nga	-	-			April 28, 2020	-	Sister	-
4.6	Do Thanh Binh	-	-			April 28, 2020	-	brother	-
4.7	Trinh Hai Ninh	-	-			April 28, 2020	-	Wife	-
4.8	Do Hoang Dieu Anh	-	-			April 28, 2020	-	biological child	-
4.9	Do Minh Thu	-	-			April 28, 2020	-	biological child	-
4.10	Do Truong Thinh	-	-			April 28, 2020	-	biological child	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relati onshi p with the comp any
4.11	Aqua One Hau Giang Water Joint Stock Company	-	Deputy General Manager			April 28, 2020	-	Mr. Do Hoang Long is Deputy General Director of AquaOne Hau Giang Water Joint Stock Company.	-
4.12	Aqua One Water Joint Stock Company	-	Capital represent ative at Phu Yen Water Supply and Drainage Joint Stock Company			April 28, 2020	-	Mr. Do Hoang Long is the capital representati ve of AquaOne Water Joint Stock Company at Phu Yen Water Supply and Drainage Joint Stock Company.	-
4.13	Duong River Surface Water Joint Stock Company	-	General Director			July 28, 2021	-	Mr. Do Hoang Long is	

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relati onshi p with the comp any
								General Director, legal representati ve of Duong River Surface Water Joint Stock Company.	
5	Nguyen Phu Lieu	-	Member of Board of Directors, Deputy General Director of the Company			April 28, 2020	-	-	-
5.1	People's Committee of Phu Yen province	-	-			April 28, 2020	-	State capital managem ent agency	-
5.2	Nguyen Phu Huan	-	-			April 28, 2020	-	brother	-
5.3	Nguyen Phu Tuan	-	-			April 28, 2020	-	brother	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
5.4	Nguyen Thi Tham	-	-			April 28, 2020	-	Sister	-
5.5	Tran Thi Thuy Hong	-	-			April 28, 2020	-	Wife	-
5.6	Nguyen Thi Thuy	-	-			April 28, 2020	-	biological child	-
5.7	Nguyen Bao Tran	-	-			April 28, 2020	-	biological child	-
5.8	Nguyen Thi Chuc	-	-			April 28, 2020	-	Sister in law	-
5.9	Dao Thi Hai	-	-			April 28, 2020	-	Sister in law	-
5.10	Vu Duc Bang	-	-			April 28, 2020	-	brother in law	-
<b>6</b>	<b>Nguyen Khac Toan</b>	-	Deputy General Director of the Company			July 13, 2021	-	-	-
6.1	Truong Thi Phu	-	-			July 13, 2021	-	Mother in law	-
6.2	Truong Thi Le Thuy	-	-			July 13, 2021	-	Wife	-
6.3	Nguyen Nhat Khang	-	-			July 13, 2021	-	biological child	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
6.4	Nguyen Thuc Quyen	-	-			July 13, 2021	-	biological child	-
6.5	Nguyen Thi Hiep	-	-			July 13, 2021	-	Sister	-
6.6	Nguyen Thi Hoa	-	-			July 13, 2021	-	Sister	-
6.7	Nguyen Thi Nga	-	-			July 13, 2021	-	Sister	-
6.8	Nguyen Thanh Duoc	-	-			July 13, 2021	-	younger brother	-
6.9	Nguyen Thi Ngoc Anh	-	-			July 13, 2021	-	younger brother	-
6.10	Nguyen Thi Bao Thi	-	-			July 13, 2021	-	younger brother	-
6.11	Nguyen Van Hien	-	-			July 13, 2021	-	younger brother	-
6.12	Vo Dan Than	-	-			July 13, 2021	-	brother in law	-
6.13	Tran Le Huu Tho	-	-			July 13, 2021	-	brother in law	-
6.14	Ho Nhu Hai	-	-			July 13, 2021	-	brother in law	-
6.15	Tran Bich Thao	-	-			July 13, 2021	-	sister in law	-
6.16	Le Vo Anh Hoai	-	-			July 13, 2021	-	sister in law	-



ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
<b>7</b>	<b>Nguyen Thi Xuan Tuu</b>	-	Chief Accountant			December 31, 2019	-	-	-
7.1	Nguyen Thanh Thien	-	-			December 31, 2019	-	brother	-
7.2	Nguyen Thi Xuan Tham	-	-			December 31, 2019	-	Sister	-
7.3	Nguyen Thanh Hai	-	-			December 31, 2019	-	brother in law	-
7.4	Nguyen Thi Xuan Xinh	-	-			December 31, 2019	-	Sister	-
7.5	Nguyen Thi Xuan Hang	-	-			December 31, 2019	-	younger brother	-
7.6	Nguyen Duc Thanh	-	-			December 31, 2019	-	younger brother	-
7.7	Nguyen Thi Xuan Viet	-	-			December 31, 2019	-	younger brother	-
7.8	Nguyen Duc Trinh	-	-			December 31, 2019	-	younger brother	-
7.9	Nguyen Ngoc Thuc	-	-			December 31, 2019	-	younger brother	-
7.10	Nguyen Thi Xuan Y	-	-			December 31, 2019	-	younger brother	-
<b>8</b>	<b>Do Minh Son</b>	-	Head of Supervisory Board			April 28, 2020	-	-	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
8.1	Do Quang Tu	-	-			April 28, 2020	-	Intestinal supplement	-
8.2	Tran Thi Hang	-	-			April 28, 2020	-	Wife	-
8.3	Do Thi Minh Nguyet	-	-			April 28, 2020	-	younger brother	-
8.4	Do Thu Thuy	-	-			April 28, 2020	-	younger brother	-
8.5	Tran Viet Dung	-	-			April 28, 2020	-	father in law	-
8.6	Nguyen Thi Tham	-	-			April 28, 2020	-	Mother in law	-
8.7	Le Duc Hau	-	-			April 28, 2020	-	brother in law	-
8.8	Nguyen Phi Long	-	-			April 28, 2020	-	brother in law	-
8.9	Do Minh Quang	-	-			April 28, 2020		biological child	-
8.10	Do Duy Anh	-	-			April 28, 2020		biological child	-
8.9	Aqua One Water Joint Stock Company	-	-			April 28, 2020	-	Mr. Do Minh Son was nominated by AquaOne Water Joint	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
								Stock Company (shareholder) to be elected as Controller at Phu Yen Water Supply and Drainage Joint Stock Company.	
9	<b>Nguyen Thi Thu</b>	-	Controller			April 28, 2020	-	-	-
9.1	Vo Thi Lien	-	-			April 28, 2020	-	Mother in law	-
9.2	Nguyen Thanh Long	-	-			April 28, 2020	-	Husband	-
9.3	Nguyen Thi Xuan Anh	-	-			April 28, 2020	-	biological child	-
9.4	Nguyen Thi Xuan Anh	-	-			April 28, 2020	-	biological child	-
9.5	Tran Thi Ha	-	-			April 28, 2020	-	biological mother	-
9.6	Nguyen Phuong Dong	-	-			April 28, 2020	-	brother	-
9.7	Nguyen Minh Long	-	-			April 28, 2020	-	younger brother	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
9.8	Huynh Thi Xuan Duyen	-	-			April 28, 2020	-	Sister in law	-
9.9	Le Hoang Lan	-	-			April 28, 2020	-	sister in law	-
9.10	Le Van Minh	-	-			October 30, 2023	-	son in law	
10	<b>Do Thi Kieu Trang</b>	-	Controller			April 28, 2021	-	-	-
10.1	Do Huu Han	-	-			April 28, 2021	-	biological father	-
10.2	Do Thi Chinh	-	-			April 28, 2021	-	biological mother	-
10.3	Do Thi Hoang Yen	-	-			April 28, 2021	-	younger brother	-
10.4	Aqua One Water Joint Stock Company	-	-			April 28, 2021	-	Ms. Do Thi Kieu Trang was nominated by AquaOne Water Joint Stock Company (shareholder) to be elected as a Controller at Phu Yen Water	-

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relati onshi p with the comp any
								Supply and Drainage Joint Stock Company.	
10.5	AquaOne Long An Water Joint Stock Company	-	-			April 28, 2021	-	Ms. Do Thi Kieu Trang is a Controller at AquaOne Long An Water Joint Stock Company.	-
10.6	Duong River Surface Water Joint Stock Company	-	Board Member			10/01/2024	-	Ms. Do Thi Kieu Trang was appointed as a member of the Board of Directors of Duong River Surface Water Joint Stock Company.	

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
11	Le Gia Vinh	-	Corporate Administrator, Corporate Secretary			11/5/2020	-	-	-
11.1	Le Minh Tam	-	-			11/5/2020	-	biological father	-
11.2	Le Thi Thu Thuy	-	-			11/5/2020	-	biological mother	-
11.3	Nguyen Lac	-	-			September 13, 2021	-	father in law	-
11.4	Vo Thi My Le	-	-			September 13, 2021	-	Mother in law	-
11.5	Nguyen Hieu Nhi	-	-			September 13, 2021	-	Wife	-
11.6	Le Nguyen Nha Uyen	-	-			01/08/2022	-	biological child	-
<b>Other enterprises, organizations and individuals are related to the Public Company.</b>									
12	AquaOne Water Joint Stock Company	-	Strategic investor			October 6, 2015			Parent company
13	People's Committee of Phu Yen province	-	State capital management agency at			October 6, 2015			State capital management agency

ST T	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	The starting point is the person concerned.	Time is no longer relevant	Reason	Relationship with the company
			the Company						at the Company

*Note: NSH Certificate number\*: ID card/Passport number (for individuals) or Business Registration Certificate number, Operating License or equivalent legal documents (for organizations)*

2. Transactions between the company and related persons of the company; or between the company and major shareholders, insiders, and related persons of insiders

STT	Name of organization/individual	Relationship with the company	NSH Certificate Number*, date of issue, place of issue	Head office address/Contact address	Time of transaction with the company	Resolution/Decision No. approved by the General Meeting of Shareholders/Board of Directors... (if any, specify the date of issue)	Content, quantity, total transaction value	Note
-	-	-	-	-	-	-	-	-

*Note: NSH Certificate number\*: ID card/Passport number (for individuals) or Business Registration Certificate number, Operating License or equivalent legal documents (for organizations)*

3. Transactions between company insiders, related persons of insiders and subsidiaries, companies controlled by the company : None.

4. Transactions between the company and other entities :

4.1. Transactions between the company and companies in which members of the Board of Directors, members of the Board of Supervisors, General Director and other managers have been and are founding members or members of the Board of Directors, General Director within the past three (03) years (as of the time of reporting) : None.

4.2. Transactions between the company and companies in which related persons of members of the Board of Directors, members of the Board of Supervisors, General Director and other managers are members of the Board of Directors, General Director and executive directors : None.

4.3. Other transactions of the company (if any) that may bring material or immaterial benefits to members of the Board of Directors, members of the Board of Supervisors, General Director and other managers : None.

### VIII. Stock transactions of insiders and related parties of insiders in 2024

#### 1. List of insiders and related persons of insiders

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
1	Nguyen Tan Thuan	-	Chairman of the Board of Directors, Deputy General Director of the Company			49,558	0.129%	Board Member
1.1	People's Committee of Phu Yen province	-	-			14,740,600	38.42%	State capital management agency at the Company
1.2	Nguyen Tan Thuong	-	-			5,397	0.014%	brother
1.3	Vo Thi Bich Lien	-	-			0	0.00%	Sister in law



STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
1.4	Nguyen Thi Hong Thuy	-	-			0	0.00%	Wife
1.5	Nguyen Tai					0	0.00%	father in law
1.6	Phan Thi Nam	-	-			0	0.00%	Mother in law
1.7	Nguyen Kha Tu	-	-			0	0.00%	biological child
1.8	Nguyen Cam Tu	-	-			0	0.00%	biological child
1.9	Dao Nguyen Trung	-	-			0	0.00%	son in law
1.10	Nguyen Tan Thong	-	-			0	0.00%	brother
1.11	Pham Thi Hong Mai					0	0.00%	Sister in law
1.12	Nguyen Tan Thinh	-	-			0	0.00%	brother
1.13	Nguyen Ai Lan	-	-			0	0.00%	Sister in law
1.14	Nguyen Thi Thuan	-	-			0	0.00%	Sister
1.15	Pham Su	-	-			0	0.00%	brother in law
1.16	Nguyen Tan Than	-	-			0	0.00%	brother
1.17	Huynh Thi Kim Anh					0	0.00%	Sister in law
1.18	Nguyen Tan Thoan	-	-			0	0.00%	brother

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
1.19	Nguyen Thi My Phuong	-	-			0	0.00%	Sister in law
1.20	Nguyen Thi Thu Thuyet	-	-			0	0.00%	younger brother
1.21	Nguyen Xuan Bang	-	-			0	0.00%	brother in law
<b>2</b>	<b>Dang Duc Hoang</b>	-	Board Member			0	0.00%	-
2.1	Vu Thi Phuong Lien	-	-			0	0.00%	Wife
2.2	Dang Vu Quang Dang	-	-			0	0.00%	biological child
2.3	Dang Son Thach	-	-			0	0.00%	biological child
2.4	Duong Kim Phuong	-	-			0	0.00%	Sister
2.5	Dang Kim Loan	-	-			0	0.00%	Sister
2.6	Dang Duc Long	-	-			0	0.00%	younger brother
2.7	Dang Vu Duc Lan	-	-			0	0.00%	younger brother
2.8	Toan My 14 Investment and Construction Joint Stock Company	-	-			0	0.00%	-

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
2.9	Toan My 14 Construction Investment and Installation Company Limited	-	-			0	0.00%	CEO
2.10	AquaOne Long An Water Joint Stock Company	-	-			0	0.00%	General Director
<b>3</b>	<b>Ta Binh Nguyen</b>	-	Board Member			800	0.0002%	-
3.1	Do Thi Ly	-	-			0	0.00%	biological mother
3.2	Ninh Thi Kim Ngoc	-	-			0	0.00%	Mother in law
3.3	Nguyen Thi Hong Hanh	-	-			0	0.00%	Wife
3.4	Nicholas Tse	-	-			0	0.00%	biological child
3.5	Ta Anh Kiet	-	-			0	0.00%	biological child
3.6	AquaOne Hau Giang Water Joint Stock Company	-	-			0	0.00%	Mr. Ta Binh Nguyen is the General Director and legal

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
								representative
4	<b>Do Hoang Long</b>	-	Member of Board of Directors, General Director of the Company			0	0.00%	-
4.1	Nguyen Thi Ngoc Lien	-	-			0	0.00%	biological mother
4.2	Do Thuy Nga	-	-			0	0.00%	Sister
4.3	Nguyen Van Thanh	-	-			0	0.00%	brother in law
4.4	Tang Thi Minh Tam	-	-			0	0.00%	Sister in law
4.5	Do Phi Nga	-	-			0	0.00%	Sister
4.6	Do Thanh Binh	-	-			0	0.00%	brother
4.7	Trinh Hai Ninh	-	-			0	0.00%	Wife

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
4.8	Do Hoang Dieu Anh	-	-			0	0.00%	biological child
4.9	Do Minh Thu	-	-			0	0.00%	biological child
4.10	Do Truong Thinh	-	-			0	0.00%	biological child
4.11	Aqua One Hau Giang Water Joint Stock Company	-	Deputy General Manager			0	0.00%	Mr. Do Hoang Long is Deputy General Director of AquaOne Hau Giang Water Joint Stock Company.
4.12	Aqua One Water Joint Stock Company	-	Capital representative at Phu Yen Water Supply and Drainage Joint Stock Company			22,879,025	59.63%	Mr. Do Hoang Long is the capital representative of AquaOne Water Joint Stock Company at Phu Yen Water Supply and Drainage

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
								Joint Stock Company.
4.13	Duong River Surface Water Joint Stock Company	-	General Director			0	0.00%	Mr. Do Hoang Long is General Director, legal representative of Duong River Surface Water Joint Stock Company.
5	<b>Nguyen Phu Lieu</b>	-	Member of Board of Directors, Deputy General Director of the Company			5,000	0.013%	-
5.1	People's Committee of Phu Yen province	-	-			14,740,600	38.42%	State capital management agency
5.2	Nguyen Phu Huan	-	-			0	0.00%	brother

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
5.3	Nguyen Phu Tuan	-	-			0	0.00%	brother
5.4	Nguyen Thi Tham	-	-			0	0.00%	Sister
5.5	Tran Thi Thuy Hong	-	-			1,500	0.001%	Wife
5.6	Nguyen Thi Thuy	-	-			0	0.00%	biological child
5.7	Nguyen Bao Tran	-	-			0	0.00%	biological child
5.8	Nguyen Thi Chuc	-	-			0	0.00%	Sister in law
5.9	Dao Thi Hai	-	-			0	0.00%	Sister in law
5.10	Vu Duc Bang	-	-			0	0.00%	brother in law
6	<b>Nguyen Khac Toan</b>	-	Deputy General Director of the Company			4,300	0.001%	-
6.1	Truong Thi Phu	-	-			0	0.00%	Mother in law
6.2	Truong Thi Le Thuy	-	-			0	0.00%	Wife
6.3	Nguyen Nhat Khang	-	-			0	0.00%	biological child
6.4	Nguyen Thuc Quyen	-	-			0	0.00%	biological child

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
6.5	Nguyen Thi Hiep	-	-			0	0.00%	Sister
6.6	Nguyen Thi Hoa	-	-			0	0.00%	Sister
6.7	Nguyen Thi Nga	-	-			0	0.00%	Sister
6.8	Nguyen Thanh Duoc	-	-			0	0.00%	younger brother
6.9	Nguyen Thi Ngoc Anh	-	-			0	0.00%	younger brother
6.10	Nguyen Thi Bao Thi	-	-			0	0.00%	younger brother
6.11	Nguyen Van Hien	-	-			0	0.00%	younger brother
6.12	Vo Dan Than	-	-			0	0.00%	brother in law
6.13	Tran Le Huu Tho	-	-			0	0.00%	brother in law
6.14	Ho Nhu Hai	-	-			0	0.00%	brother in law
6.15	Tran Bich Thao	-	-			0	0.00%	sister in law
6.16	Le Vo Anh Hoai	-	-			0	0.00%	sister in law
<b>7</b>	<b>Nguyen Thi Xuan Tuu</b>	-	Chief Accountant			2,616	0.006%	-
7.1	Nguyen Thanh Thien	-	-			0	0.00%	brother



STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
7.2	Nguyen Thi Xuan Tham	-	-			0	0.00%	Sister
7.3	Nguyen Thanh Hai	-	-			0	0.00%	brother in law
7.4	Nguyen Thi Xuan Xinh	-	-			0	0.00%	Sister
7.5	Nguyen Thi Xuan Hang	-	-			0	0.00%	younger brother
7.6	Nguyen Duc Thanh	-	-			0	0.00%	younger brother
7.7	Nguyen Thi Xuan Viet	-	-			0	0.00%	younger brother
7.8	Nguyen Duc Trinh	-	-			0	0.00%	younger brother
7.9	Nguyen Ngoc Thuc	-	-			0	0.00%	younger brother
7.10	Nguyen Thi Xuan Y	-	-			0	0.00%	younger brother
8	<b>Do Minh Son</b>	-	Head of Supervisory Board			0	0.00%	-
8.1	Do Quang Tu	-	-			0	0.00%	biological father

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
8.2	Tran Thi Hang	-	-			0	0.00%	Wife
8.3	Do Thi Minh Nguyet	-	-			0	0.00%	younger brother
8.4	Do Thu Thuy	-	-			0	0.00%	younger brother
8.5	Tran Viet Dung	-	-			0	0.00%	father in law
8.6	Nguyen Thi Tham	-	-			0	0.00%	Mother in law
8.7	Le Duc Hau	-	-			0	0.00%	brother in law
8.8	Nguyen Phi Long	-	-			0	0.00%	brother in law
8.9	Do Minh Quang	-	-			0	0.00%	biological child
8.10	Do Duy Anh	-	-			0	0.00%	biological child
8.9	Aqua One Water Joint Stock Company	-	-			22 . 879 . 025	59.63%	Mr. Do Minh Son was nominated by AquaOne Water Joint Stock Company (shareholder) to be elected

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
								as Controller at Phu Yen Water Supply and Drainage Joint Stock Company.
9	<b>Nguyen Thi Thu</b>	-	Controller			6,869	0.017%	-
9.1	Vo Thi Lien	-	-			0	0.00%	Mother in law
9.2	Nguyen Thanh Long	-	-			5,397	0.14%	Husband
9.3	Nguyen Thi Xuan Anh	-	-			0	0.00%	biological child
9.4	Nguyen Thi Xuan Anh	-	-			0	0.00%	biological child
9.5	Tran Thi Ha	-	-			0	0.00%	biological mother
9.6	Nguyen Phuong Dong	-	-			0	0.00%	brother
9.7	Nguyen Minh Long	-	-			0	0.00%	younger brother
9.8	Huynh Thi Xuan Duyen	-	-			0	0.00%	Sister in law
9.9	Le Hoang Lan	-	-			0	0.00%	sister in law

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
9.10	Le Van Minh	-	-			0	0.00%	son in law
10	<b>Do Thi Kieu Trang</b>	-	Controller			0	0.00%	-
10.1	Do Huu Han	-	-			0	0.00%	biological father
10.2	Do Thi Chinh	-	-			0	0.00%	biological mother
10.3	Do Thi Hoang Yen	-	-			0	0.00%	younger brother
10.4	Aqua One Water Joint Stock Company	-	-			22,879,025	59.63%	Ms. Do Thi Kieu Trang was nominated by AquaOne Water Joint Stock Company (shareholder) to be elected as a Controller at Phu Yen Water Supply and Drainage Joint Stock Company.

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
10.5	AquaOne Long An Water Joint Stock Company	-	-			0	0.00%	Ms. Do Thi Kieu Trang is a Controller at AquaOne Long An Water Joint Stock Company.
10.6	Duong River Surface Water Joint Stock Company	-	-			0	0.00%	Ms. Do Thi Kieu Trang is a member of the Board of Directors of Duong River Surface Water Joint Stock Company.
11	<b>Le Gia Vinh</b>	-	Corporate Administrator, Corporate Secretary			0	0.00%	-
11.1	Le Minh Tam	-	-			0	0.00%	biological father

STT	Name of organization/individual	Securities trading account (if any)	Position in the company (if any)			Number of shares owned	Ownership ratio	Note
11.2	Le Thi Thu Thuy	-	-			0	0.00%	biological mother
11.3	Nguyen Lac	-	-			0	0.00%	father in law
11.4	Vo Thi My Le	-	-			0	0.00%	Mother in law
11.5	Nguyen Hieu Nhi	-	-			0	0.00%	Wife
11.6	Le Nguyen Nha Uyen	-	-			0	0.00%	biological child
<b>Other enterprises, organizations and individuals are related to the Public Company.</b>								
12	<b>AquaOne Water Joint Stock Company</b>	-	Strategic investor			22,879,025	59.63%	Parent company
13	<b>People's Committee of Phu Yen province</b>	-	State capital management agency at the Company			14,740,600	38.42%	State capital management agency at the Company

**IX. Other issues to note :** None

***Recipient:***

- As above;
- Board of Supervisors ;
- File: Board of Directors.

**CHAIRMAN OF THE BOARD OF DIRECTORS**

**NGUYEN TAN THUAN**